

## Convolutional Codes Definitions

**Constraint length** maximum number of bits in a single output stream that can be affected by any input bit

- There is no standard definition
- In Wicker it is defined as

$$K = 1 + \max_i m_i$$

- In Lin and Costello: Maximum number of encoder outputs that can be affected by a single information bit

$$K = n(m + 1)$$

where  $m$  is the memory order,  $m = \max_i m_i$

- In Forney: number of information bits that must be saved

$$K = \sum_i m_i$$

**Total memory** total number of memory elements

$$M = \sum_{i=0}^{k-1} m_i$$

**Maximal memory order** Length of the longest shift register

$$m = \max_i m_i = K - 1$$

### Distance measures

**minimum free distance**

$$d_{\text{free}} \triangleq \min\{d(\mathbf{v}', \mathbf{v}'') : \mathbf{u}' \neq \mathbf{u}''\}$$

$d_{\text{free}}$  is the minimum distance between any two codewords in the code. Since a convolutional code is linear:

$$\begin{aligned} d_{\text{free}} &= \min\{w(\mathbf{v}' + \mathbf{v}'') : \mathbf{u}' \neq \mathbf{u}''\} \\ &= \min\{w(\mathbf{v}) : \mathbf{u} \neq \mathbf{0}\} \end{aligned}$$

**column distance function (CDF)** Let

$$\begin{aligned} [\mathbf{v}]_i &= (v_0^{(1)} v_0^{(2)} \dots v_0^{(n)}, v_1^{(1)} v_1^{(2)} \dots v_1^{(n)}, \\ &\dots, v_i^{(1)} v_i^{(2)} \dots v_i^{(n)}) \end{aligned}$$

denote the  $i$ th truncation of the codeword  $\mathbf{v}$  and

$$[\mathbf{u}]_i = (u_0^{(1)} u_0^{(2)} \cdots u_0^{(k)}, u_1^{(1)} u_1^{(2)} \cdots u_1^{(k)}, \dots, u_i^{(1)} u_i^{(2)} \cdots u_i^{(k)})$$

denote the  $i$ th truncation of the information sequence  $\mathbf{u}$ . The column distance function of order  $i$ ,  $d_i$ , is defined as

$$d_i \triangleq \min \{d([\mathbf{v}' ]_i, [\mathbf{v}'' ]_i) : [\mathbf{u}' ]_0 \neq [\mathbf{u}'' ]_0\} \\ \min \{w([\mathbf{v}' ]_i) : [\mathbf{u}]_0 \neq \mathbf{0}\}$$

So,  $d_i$  is the minimum-weight codeword over the first  $(i + 1)$  time units whose initial information block is nonzero.

**minimum distance**  $d_{\min}$  of a  $(n, k)$  convolutional code with constraint length  $K$  is the CDF  $d_i$  evaluated at  $i = K$ .

## Weight Enumerator

$$T(X, Y) = \sum_i \sum_{j=1}^{\infty} a_{ij} X^i Y^j$$

where

- $i$  is the weight of the output bit sequence
- $j$  is the weight of the input bit sequence
- $a_{ij}$  is the number of paths with input weight  $j$  and output weight  $i$

**path** connects initial state to final state and does not go through any state twice

**path gain** product of the branch gains along the path

**loop** circuit that does not any state more than once

**forward loop** starts and stops in  $S_0$  (same as a path).

A set of loops is nontouching if they do not share a common vertex

$K = \{K_1, K_2, \dots\}$  is the set of all forward loops

$L' = \{L'_1, L'_2, \dots\}$  is the set of corresponding path gains

$L = \{L_1, L_2, \dots\}$  is the set of all loops that do not contain  $S_0$

$C = \{C_1, C_2, \dots\}$  is the set of corresponding path gains

$$T(X, Y) = \frac{\sum_l h_l' \Delta_l}{\Delta}$$

where  $\Delta$  is the graph determinate

$$\begin{aligned} \Delta = & 1 - \sum_{L_l} C_l + \sum_{(L_l, L_m)} C_l C_m \\ & - \sum_{(L_l, L_m, L_n)} C_l C_m C_n + \dots \end{aligned}$$

where  $(L_l, L_m)$  are nontouching loop pairs, and where  $\Delta_i$  is the cofactor of path  $K_i$

$$\Delta_i = 1 - \sum_{(K_i, L_l)} C_l + \sum_{(K_i, L_l, L_m)} C_l C_m - \dots$$